# The Transitivity Problem of Turing machines

(hal-01145799)

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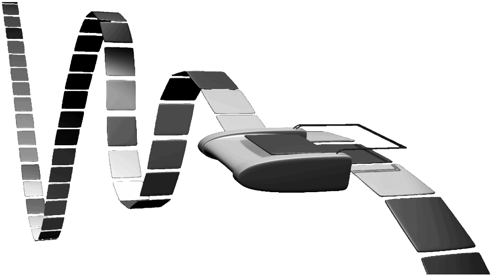
**Journées du GT Calculabilités — April 27th, 2015**

# Turing machines

The classical **Turing machine**: finitely many **states**, a (bi-)infinite **tape**, a mobile i/o **head** pointing on a cell

(optionally: blank symbol, starting and halting states).



**Halting Problem[$\Sigma_1^0$-comp.]** Given a TM and a finite starting configuration, decide if a halting state is eventually reached.

# Reachability and similar questions

**Reachability Problem[$\Sigma^0_1$-comp.]** Given a TM and two states $s$ and $t$, decide if state $t$ is reachable from state $s$.

**Totality Problem[$\Pi^0_2$-comp.]** Given a TM, decide if it eventually halts starting from any **finite configuration**.

**Mortality Problem[$\Sigma^0_1$-comp.]** Given a TM, decide if it eventually halts starting from any configuration.

**Periodicity Problem[$\Sigma^0_1$-comp.]** Given a TM, decide if every configuration eventually loops by reaching itself again.

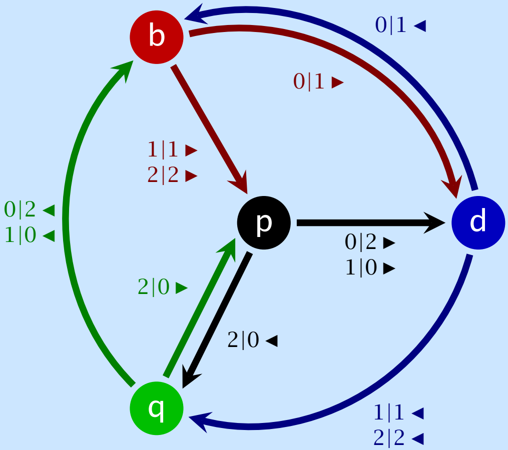
# The Transitivity Problem

**Transitivity Problem** Given a TM, decide if every **partial configuration** is reachable from every **partial configuration** (completed into a proper configuration).

A **partial configuration** specifies only a finite segment of the tape plus the state and head position.

The Transitivity Problem is in $\Pi_2^0$. We prove that it is $\Pi_1^0$-hard.

**Question** Can you construct a transitive TM?




# 1. Dynamics of Turing machines

# Turing machines

A **Turing machine** is a triple $(Q, \Sigma, \delta)$ where $Q$ is the finite set of states, $\Sigma$ is the finite set of tape symbols and $\delta : Q \times \Sigma \to Q \times \Sigma \times \{-1, 0, 1\}$ is the partial transition function.

A transition $\delta(s, a) = (t, b, d)$ means:
*"in state $s$, when reading the symbol $a$ on the tape,*
*replace it by $b$ move the head in direction $d$ and enter state $t$."*

**Configurations** are triples $(s, c, p) \in Q \times \Sigma^{\mathbb{Z}} \times \mathbb{Z}$.

A **transition** transforms $(s, c, p)$ into $(t, c', p + d)$ where $\delta(s, c(p)) = (t, b, d)$ and $c' = c$ everywhere but $c'(p) = b$.

**Notation** $(s, c, p) \vdash (t, c', p + d)$ and closures $\vdash^+$ and $\vdash^*$

# Definitions

A configuration $(s, c, p)$ is:

- **halting** if $\delta(s, c(p))$ is undefined, $(s, c(p))$ is a **halting pair**
- **periodic** if $(s, c, p) \vdash^{+} (s, c, p)$

A TM $(Q, \Sigma, \delta)$ is:

- **complete** if $\delta$ is complete
- **aperiodic** if it has no periodic configuration
- **surjective** if every configuration has a preimage
- **injective** if every configuration has at most one preimage

For complete machines surjective is equivalent to injective.

# Reversibility

Injective TM are in fact reversible TM.

**Definition** A **reversible** TM $M = (Q, \Sigma, \delta)$ is characterized by a partial injective map $\rho$ and a map $\mu$ such that $\delta(s, a) = (t, b, \mu(t))$ where $\rho(s, a) = (t, b)$.

The **reverse** of $M$ is $M^{-1}$ where $\delta^{-1}(t, b) = (s, a, -\mu(s))$.

$$(s, c, p) \vdash_M (t, c', p + \mu(t)) \Longrightarrow (t, c', p) \vdash_{M^{-1}} (s, c, p - \mu(s))$$

A **starting pair** is a halting pair of the reverse.

A **starting configuration** is a halting config of the reverse.

# Naive dynamics

A **topological dynamical system** is a pair $(X, T)$ where the topological space $X$ is the **phase space** and the continuous function $T : X \to X$ is the **global transition function**.

The **orbit** of $x \in X$ is $\mathcal{O}(x) = (T^n(x))_{n \in \mathbb{N}}$.

Using the **product topology** one obtains a **topological dynamical system** $(X, T)$ for a TM where the phase space is $X = Q \times \Sigma^{\mathbb{Z}} \times \mathbb{Z}$ and the transition function $T$ is continuous.

Unfortunately, $X$ is not **compact**, we follow Kůrka's alternative compact dynamical models TMH and TMT.

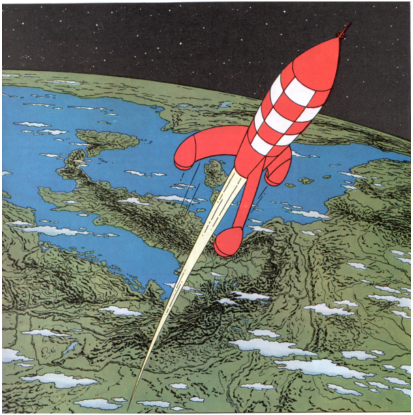
# Moving head dynamics (TMH)

$$X_h \subset (Q \cup \Sigma)^{\mathbb{Z}}$$
$$T_h : X_h \to X_h$$

$\cdots$ 000000**b**000000000 $\cdots$
$\cdots$ 0000001**d**00000000 $\cdots$
$\cdots$ 000000**b**110000000 $\cdots$
$\cdots$ 0000001**p**10000000 $\cdots$
$\cdots$ 00000010**d**0000000 $\cdots$
$\cdots$ 0000001**b**01000000 $\cdots$
$\cdots$ 00000011**d**1000000 $\cdots$
$\cdots$ 0000001**q**11000000 $\cdots$
$\cdots$ 000000**b**101000000 $\cdots$
$\cdots$ 0000001**p**01000000 $\cdots$

$\vdots$

Hergé. *On a marché sur la lune.* Casterman, 1954.



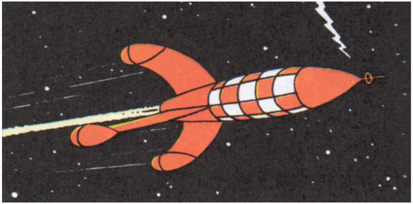
Long shot

# Moving tape dynamics (TMT)

$$\begin{aligned} X_t &= {}^{\omega}\Sigma \times Q \times \Sigma^{\omega} \\ T_t &: X_t \to X_t \end{aligned}$$

$\cdots 0000000\mathbf{b}00000000 \cdots$
$\cdots 0000001\mathbf{d}00000000 \cdots$
$\cdots 0000000\mathbf{b}11000000 \cdots$
$\cdots 0000001\mathbf{p}10000000 \cdots$
$\cdots 0000010\mathbf{d}00000000 \cdots$
$\cdots 0000001\mathbf{b}01000000 \cdots$
$\cdots 0000011\mathbf{d}10000000 \cdots$
$\cdots 0000001\mathbf{q}11000000 \cdots$
$\cdots 0000000\mathbf{b}10100000 \cdots$
$\cdots 0000001\mathbf{p}01000000 \cdots$
$\vdots$

Hergé. *On a marché sur la lune*. Casterman, 1954.



Tracking shot

# Trace-shift (ST)

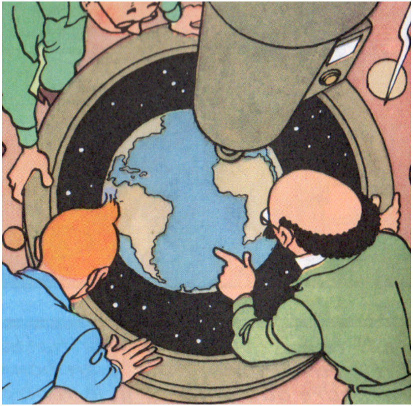
$$S_T \subseteq (Q \times \Sigma)^{\omega}$$

$$\sigma : S_T \to S_T$$

The column shift of TMT

0 0 1 1 0 0 1 1 1 0
**b d b p d b d q b p** . . .

Hergé. *On a marché sur la lune*. Casterman, 1954.



Point of view shot

# Topological transitivity

**Definition** A dynamical system $(X,T)$ is **transitive** is it admits a **transitive point** $x$ such that $\overline{\mathcal{O}(x)} = X$.

**Proposition** $(X,T)$ is **transitive** iff for every pair of open sets $U,V \subseteq X$, there exists $t$ such that $T^t(U) \cap V \neq \emptyset$.

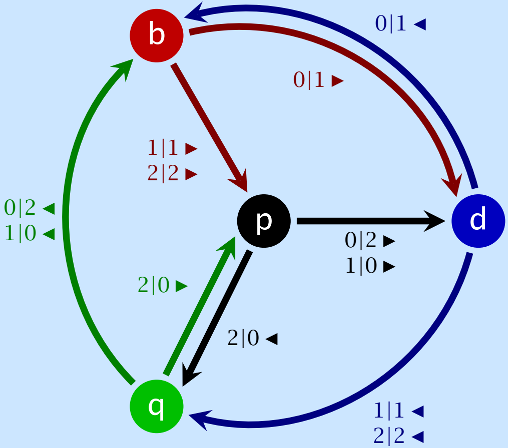
**TMH** $\forall u,v,u',v' \; \exists w,z,w',z',n \;\; T_h^n(wu.vz) = w'u'.v'z'$

**TMT** $\forall u,v,\alpha,u',v',\beta \; \exists w,z,w',z',n \; T_t^n(wu,\alpha,vz) = (w'u',\beta,v'z')$

**ST** $\forall u,v \in S_T \;\; \exists w \in S_T \quad uwv \in S_T$

TMH transitive $\Longrightarrow$ TMT transitive $\Longrightarrow$ ST transitive.

TMH transitive implies **complete**, **reversible** and **aperiodic**




# 2. a SMART machine

# A
# Small Minimal Aperiodic Reversible Turing machine

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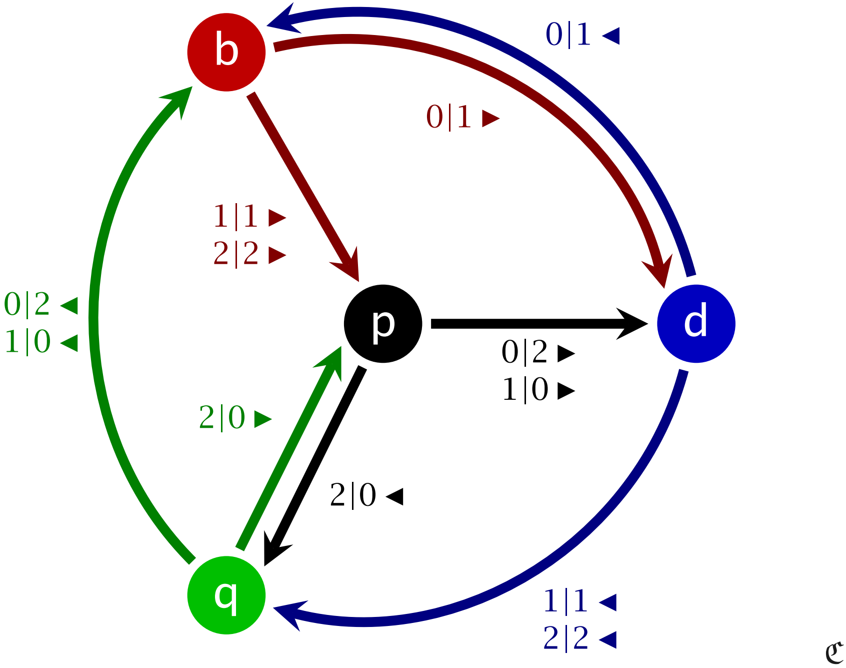
Journées **SDA2+Frac** — April 9th, 2014



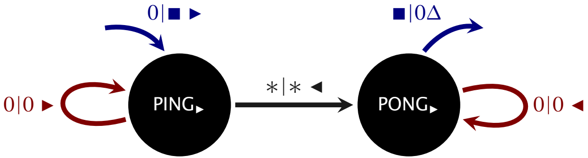
b
p
d
q
0|1 ◀
0|1 ▶
1|1 ▶
2|2 ▶
0|2 ◀
1|0 ◀
0|2 ▶
1|0 ▶
2|0 ▶
2|0 ◀
1|1 ◀
2|2 ◀

# The SMART machine $\mathfrak{C}$

A 4-state 3-symbols TM with nice properties:

- **complete** no halting configuration
- **reversible** reversed by a TM...
- **time-symetric** ...essentially itself (up to details)
- **aperiodic** no time periodic orbit
- **substitutive** substitution-generated trace-shift language
- **TMT-minimal** every orbit is dense with moving tape

How does it works?



0|■ ▶
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PING▶
∗|∗ ◀
PONG▶
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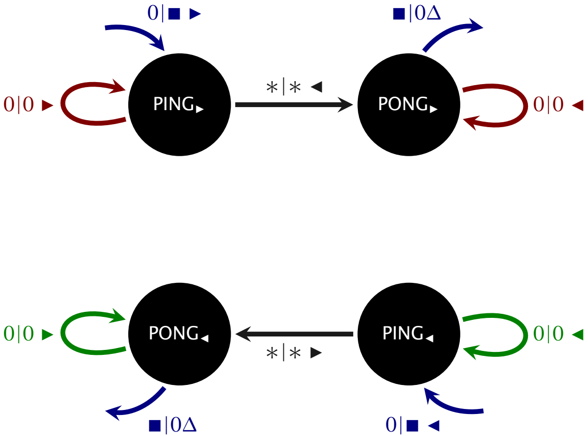
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PING▸
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PONG▸
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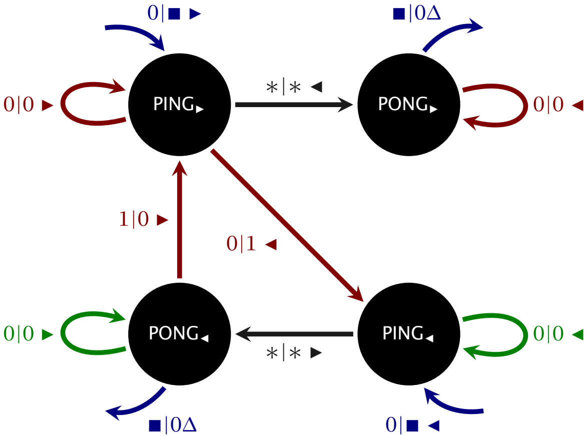

0|■ ▶
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PING▸
∗|∗ ◀
PONG▸
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PONG◂
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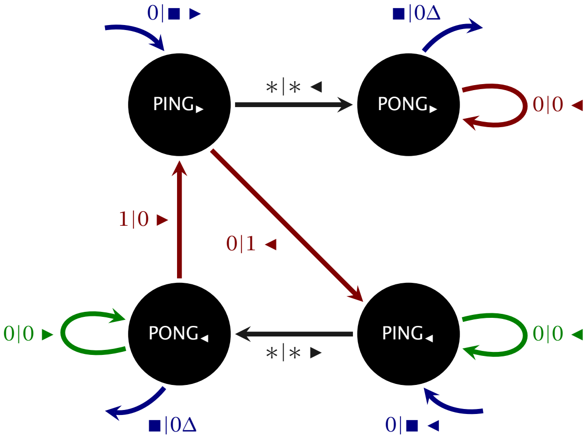

PING▸
PONG▸
PONG◂
PING◂
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■|0Δ
∗|∗ ◂
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0|0 ◂
∗|∗ ▸
■|0Δ
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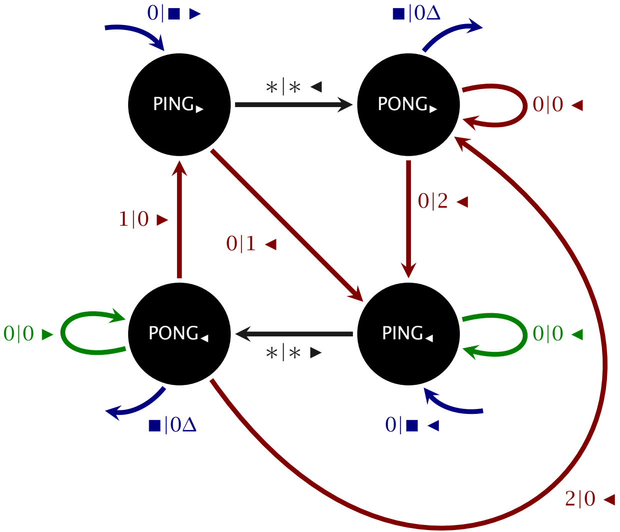

PING▸
PONG▸
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PING◂
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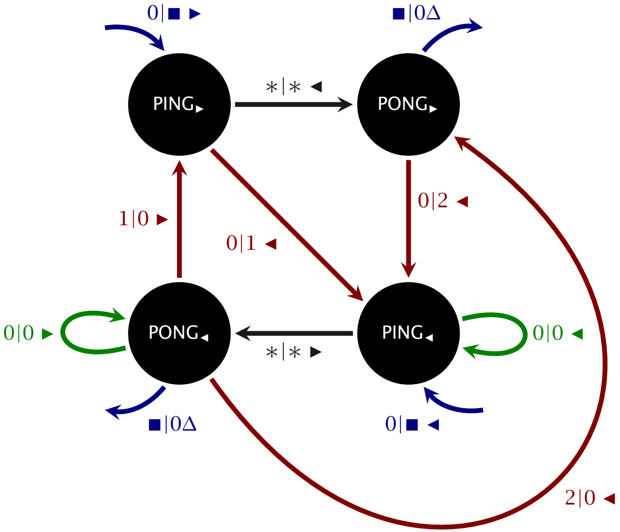
0|■ ◂
2|0 ◂



0|■ ▶
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PING▶
∗|∗ ◀
PONG▶
0|2 ◀
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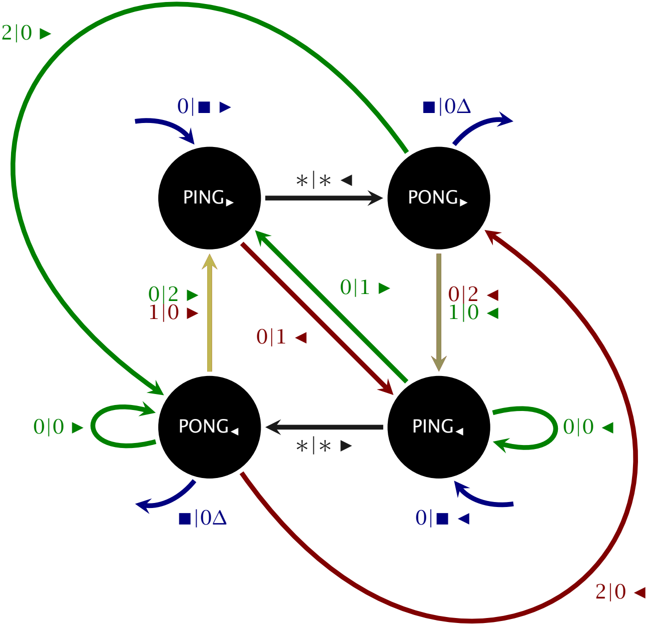
PONG◀
PING◀
0|0 ◀
∗|∗ ▶
■|0Δ
0|■ ◀
2|0 ◀



2|0 ▶
0|■ ▶
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PING▶
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PONG▶
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*|* ▶
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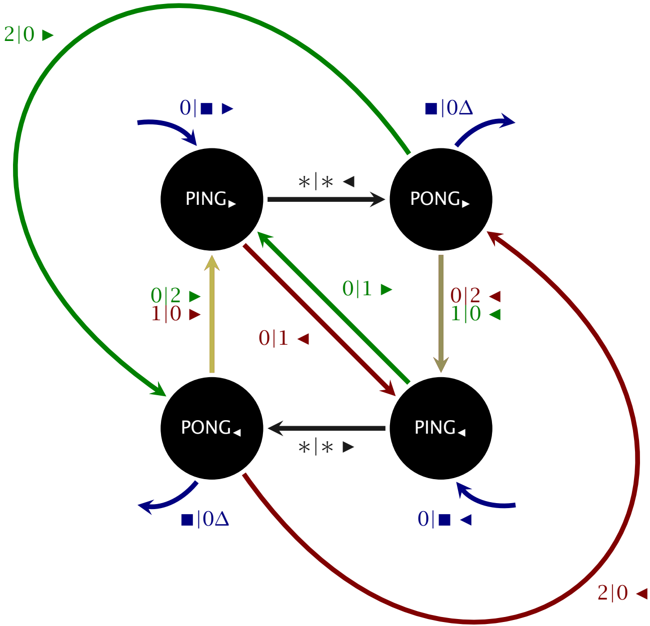

2|0 ▶
0|■ ▶
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*|* ◀
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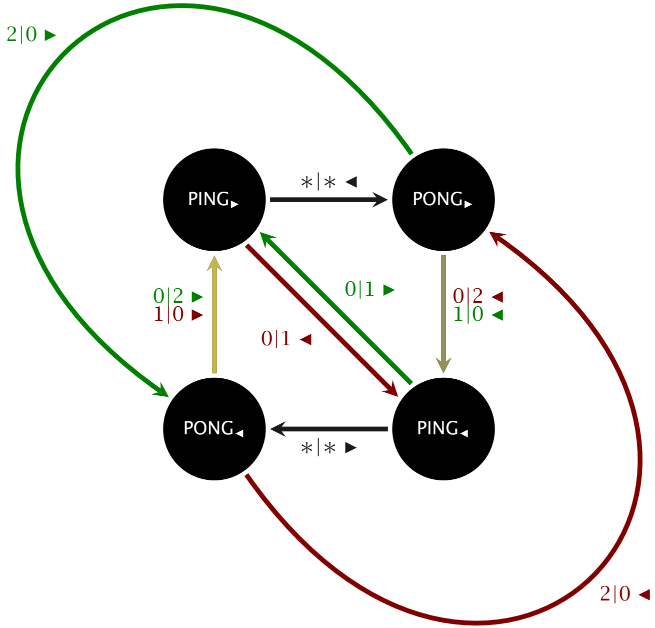
0|■ ◀
2|0 ◀



2|0 ▶
PING▸
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PONG▸
0|2 ▶
1|0 ▶
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PONG◂
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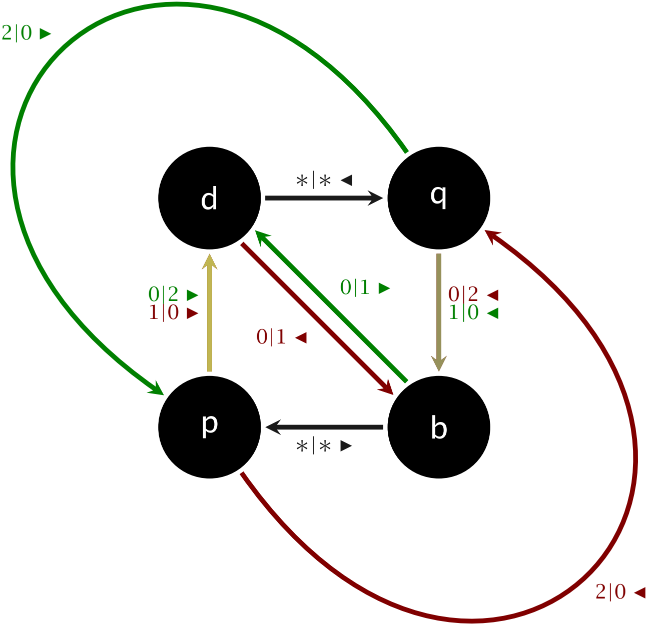

2|0 ▶
d
∗|∗ ◀
q
0|2 ▶
1|0 ▶
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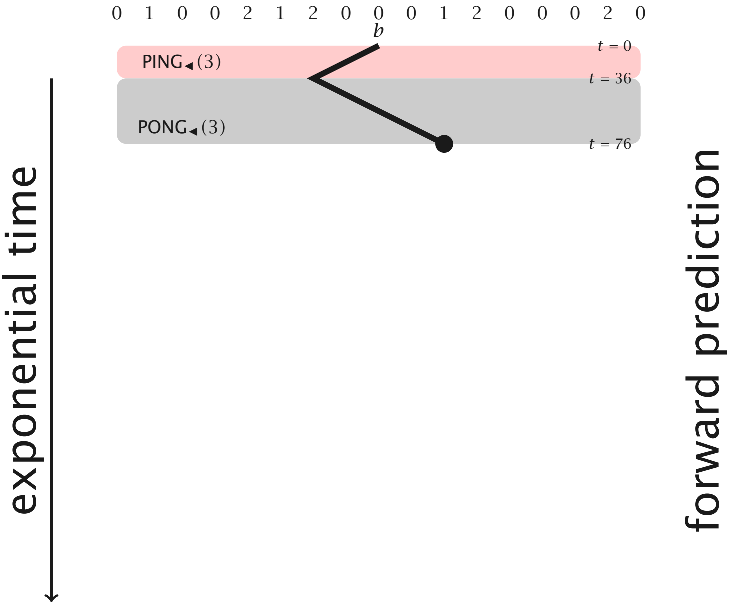
p
b
∗|∗ ▶
2|0 ◀

0 1 0 0 2 1 2 0 0 0 1 2 0 0 0 2 0

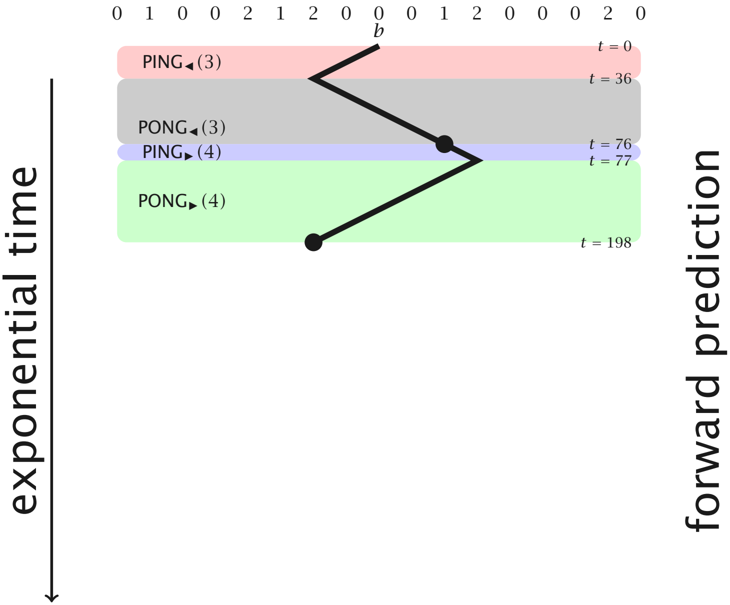
$b$

exponential time

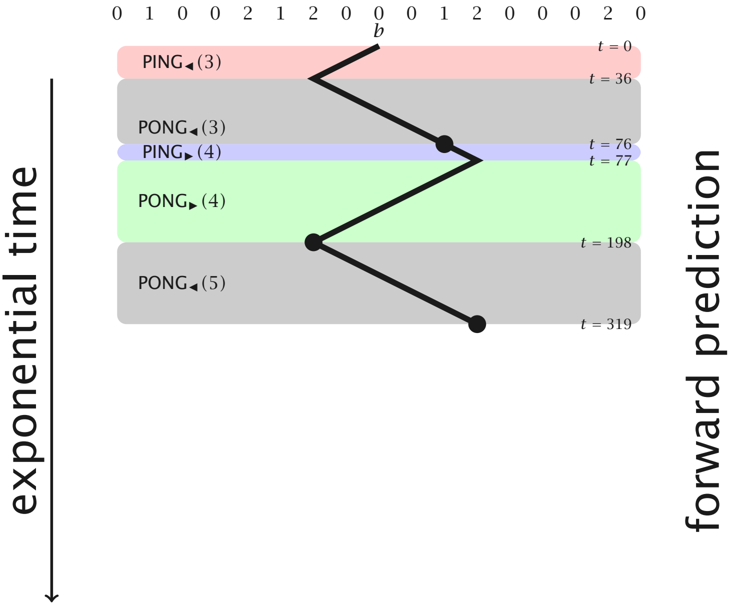
forward prediction

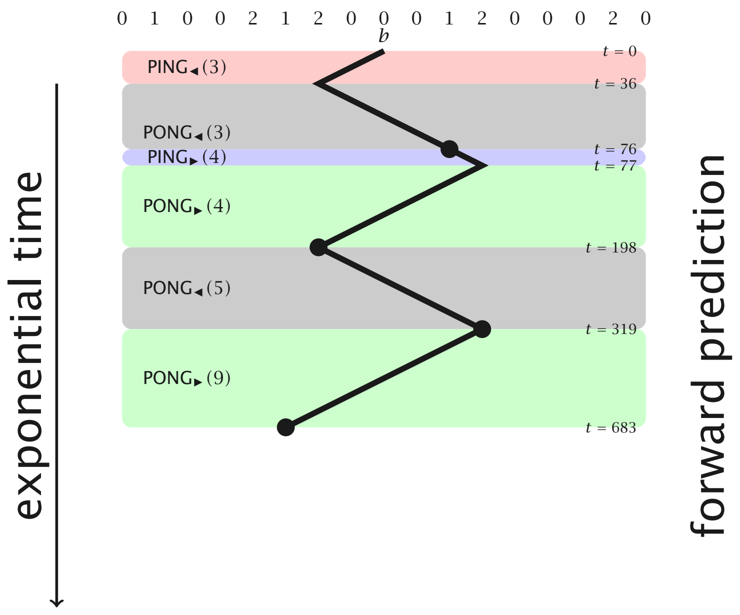


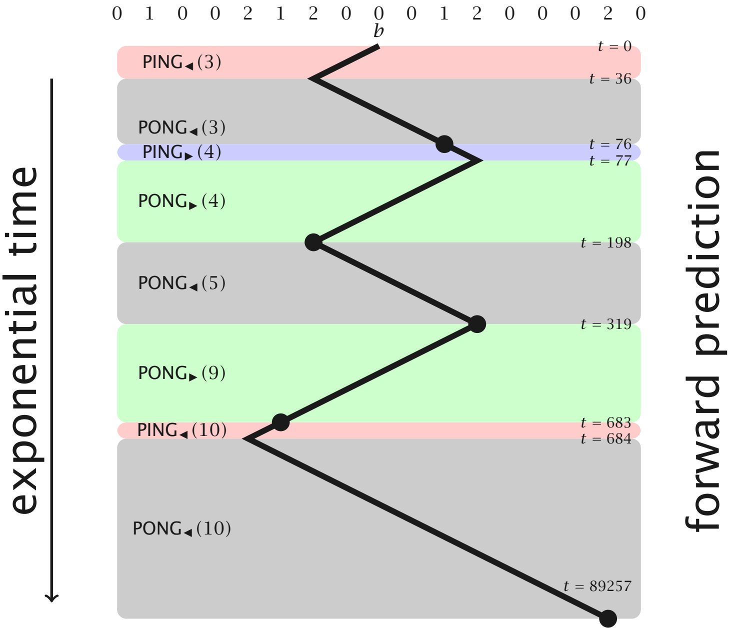
0 1 0 0 2 1 2 0 0 0 1 2 0 0 0 2 0
b
t = 0
PING◂(3)
t = 36
PONG◂(3)
t = 76
exponential time
forward prediction



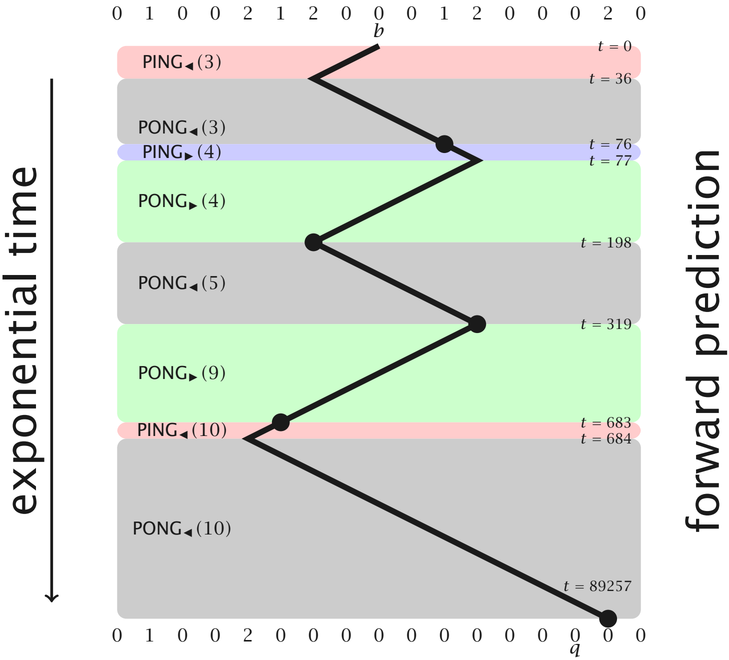
0 1 0 0 2 1 2 0 0 0 1 2 0 0 0 2 0
b
t = 0
PING◂(3)
t = 36
PONG◂(3)
t = 76
PING▸(4)
t = 77
PONG▸(4)
t = 198
exponential time
forward prediction


0 1 0 0 2 1 2 0 0 0 1 2 0 0 0 2 0
b
t = 0
PING◂(3)
t = 36
PONG◂(3)
t = 76
PING▸(4)
t = 77
PONG▸(4)
t = 198
PONG◂(5)
t = 319
exponential time
forward prediction

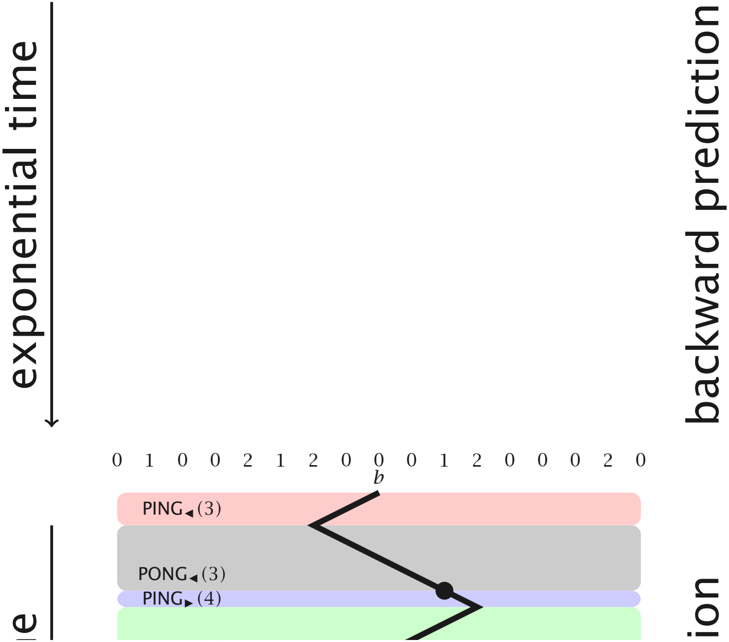

0 1 0 0 2 1 2 0 0 0 1 2 0 0 0 2 0
b
t = 0
PING◀(3)
t = 36
PONG◀(3)
t = 76
PING▶(4)
t = 77
PONG▶(4)
t = 198
PONG◀(5)
t = 319
PONG▶(9)
t = 683
exponential time
forward prediction

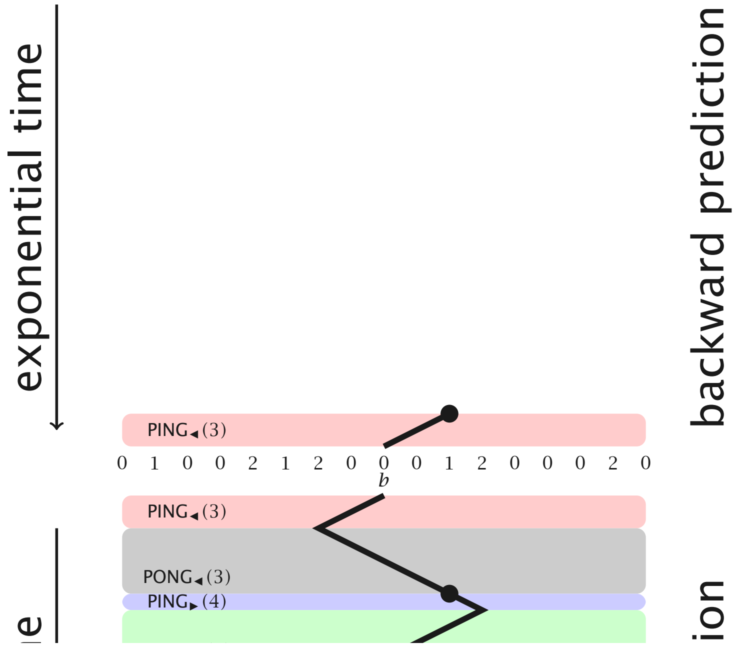


0 1 0 0 2 1 2 0 0 0 1 2 0 0 0 2 0
b
PING◂(3)
t = 0
t = 36
PONG◂(3)
PING▸(4)
t = 76
t = 77
PONG▸(4)
t = 198
PONG◂(5)
t = 319
PONG▸(9)
PING◂(10)
t = 683
t = 684
PONG◂(10)
t = 89257
exponential time
forward prediction



0 1 0 0 2 1 2 0 0 0 1 2 0 0 0 2 0
b
t = 0
PING◂(3)
t = 36
PONG◂(3)
t = 76
PING▸(4)
t = 77
PONG▸(4)
t = 198
PONG◂(5)
t = 319
PONG▸(9)
t = 683
PING◂(10)
t = 684
PONG◂(10)
t = 89257
0 1 0 0 2 0 0 0 0 0 0 0 0 0 0 0 0
q
exponential time
forward prediction


exponential time
backward prediction
0 1 0 0 2 1 2 0 0 0 1 2 0 0 0 2 0
b
PING◂(3)
PONG◂(3)
PING▸(4)


exponential time
backward prediction
PING◂ (3)
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b
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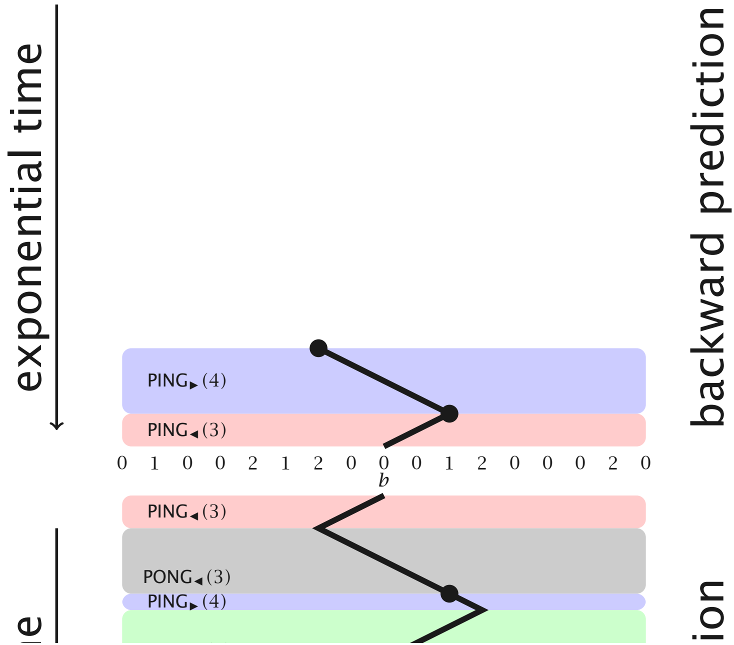
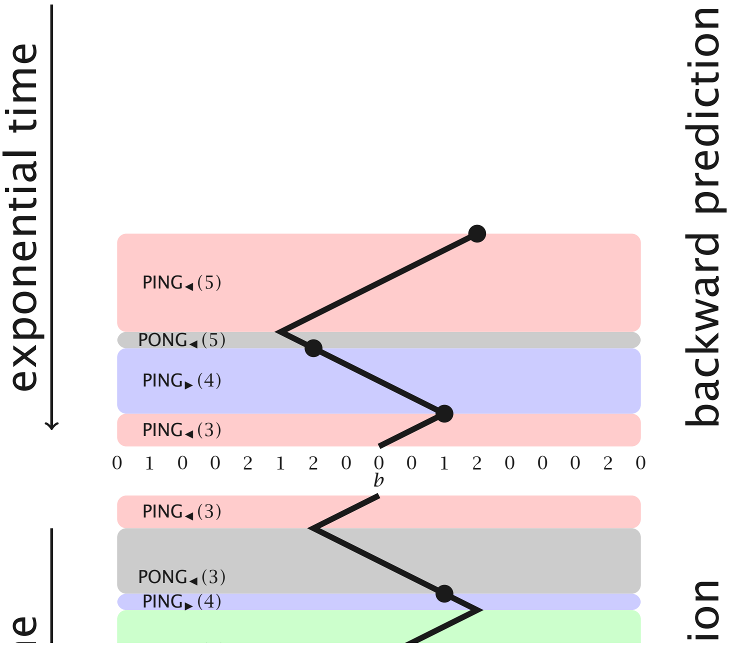
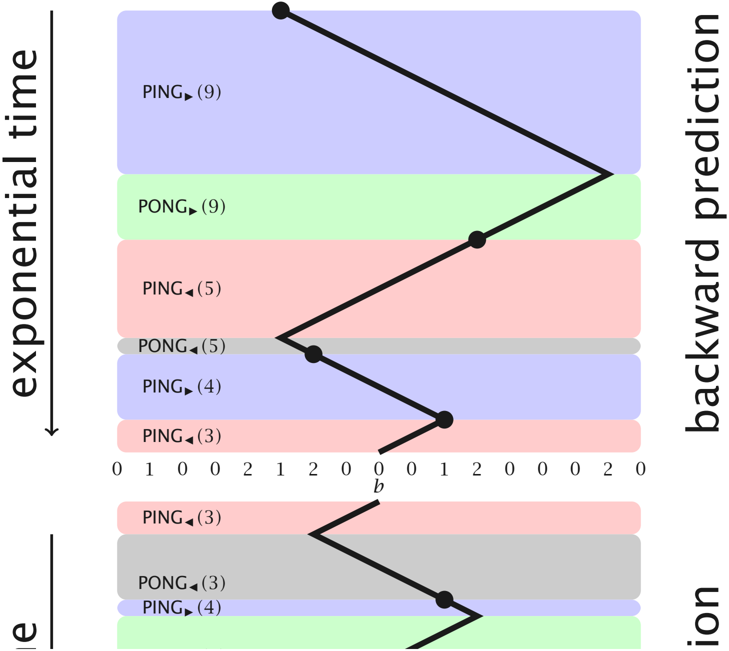
PONG◂ (3)
PING▸ (4)


exponential time
backward prediction
PING▸(4)
PING◂(3)
0 1 0 0 2 1 2 0 0 0 1 2 0 0 0 2 0
b
PING◂(3)
PONG◂(3)
PING▸(4)



exponential time
backward prediction
PING◂(5)
PONG◂(5)
PING▸(4)
PING◂(3)
0 1 0 0 2 1 2 0 0 0 1 2 0 0 0 2 0
b
PING◂(3)
PONG◂(3)
PING▸(4)



exponential time
backward prediction
PING▸(9)
PONG▸(9)
PING◂(5)
PONG◂(5)
PING▸(4)
PING◂(3)
0 1 0 0 2 1 2 0 0 0 1 2 0 0 0 2 0
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PING◂(3)
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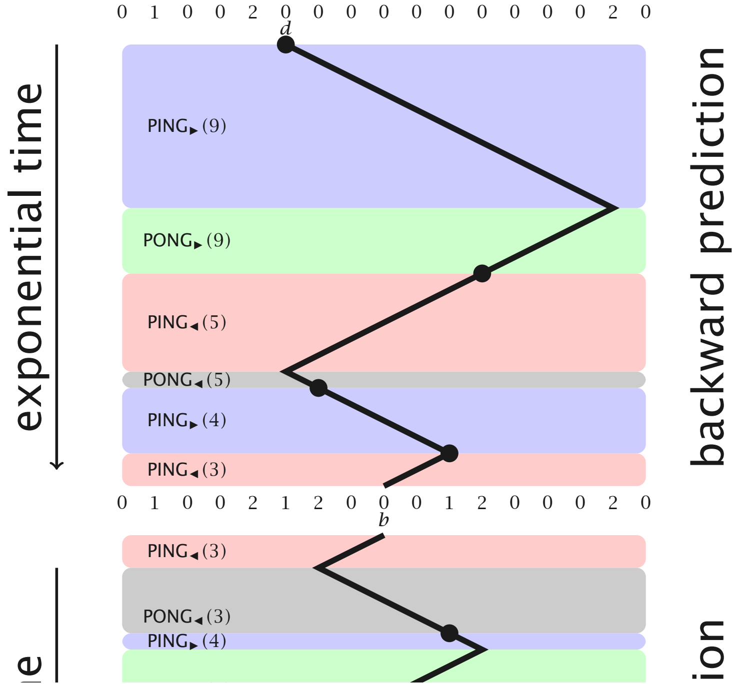
PING▸(4)
ion



0 1 0 0 2 0 0 0 0 0 0 0 0 0 0 2 0
d
exponential time
backward prediction
PING▸ (9)
PONG▸ (9)
PING◂ (5)
PONG◂ (5)
PING▸ (4)
PING◂ (3)
0 1 0 0 2 1 2 0 0 0 1 2 0 0 0 2 0
b
PING◂ (3)
PONG◂ (3)
PING▸ (4)

# SMART is transitive in TMH, TMT and ST

**Proposition** $\left( {}^{\omega}2 \cdot \underset{p}{2}\ 2^{\omega} \right)$ is a **transitive point**.

**Proof**

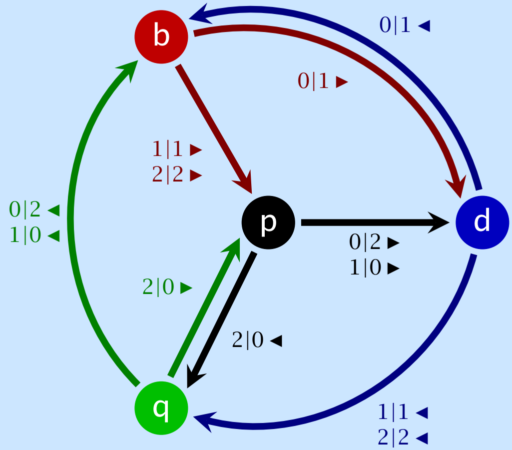
*(Forward)* For all $k \geqslant 0$:

$$\left( {}^{\omega}2 \cdot \underset{p}{2}\ 2^{\omega} \right) \vdash^{*} \left( {}^{\omega}2\ \underset{q}{2}\ 0^{k} \,.\, 0\ 0^{k}\ 2^{\omega} \right) \quad .$$

*(Backward)* For every partial configuration $\left( \underset{\leftarrow}{u}\ \underset{\alpha}{\cdot}\ \underset{\rightarrow}{v} \right)$, there exist $w, w' \in \{0,1,2\}^{*}$ and $k > 0$ big enough such that

$$\left( {}^{\omega}2\ \underset{q}{2}\ 0^{k} \,.\, 0\ 0^{k}\ 2^{\omega} \right) \vdash^{*} \left( {}^{\omega}2\ w\ \underset{\leftarrow}{u}\ \underset{\alpha}{\cdot}\ \underset{\rightarrow}{v}\ w'\ 2^{\omega} \right) \quad .$$

■




# 3. The complexity of transitivity

# Reversing time

Combine Turing machines to construct bigger ones.

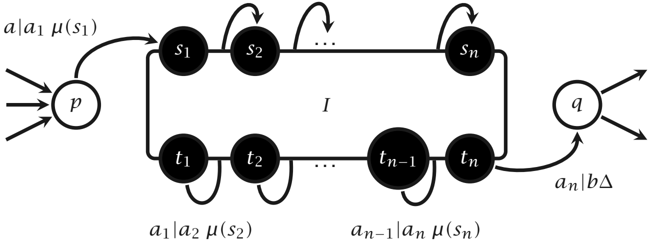
**Reversing the time** Given a reversible TM $M = (Q, \Sigma, \delta)$, construct $M_+ = (Q \times \{+\}, \Sigma, \delta^+)$ and $M_- = (Q \times \{-\}, \Sigma, \delta^-)$ where $(s, +)$ encodes $M$ in state $s$ running **forward** and $(s, -)$ running **backward**.

A typical use connects halting pairs from one machine to the corresponding starting pair of the other.

# Embedding technique

A TM $I$ with starting pairs $(s_1, a_1), \ldots, (s_n, a_n)$ and halting pairs $(t_1, a_1), \ldots, (t_n, a_n)$ is **innocuous** if starting from $(s_i, c, p + \mu(s_i))$ where $c(p) = a_i$ the machine might only halt in $(t_i, c, p)$.

The **embedding** $H^I$ of an **invited** innocuous TM $I$ inside a **host** TM $H$ is the TM containing a copy of both $I$ and $H$ where one transition $\delta(p, a) = (q, b, \Delta)$ from $H$ is replaced by



# Undecidability of transitivity

**BRA Reachability Problem[$\Sigma^0_1$-comp. too]** Given a binary reversible aperiodic TM, a starting pair $(s,a)$ and a halting pair $(t,b)$, decide if $(t,b)$ is reachable from $(s,a)$.

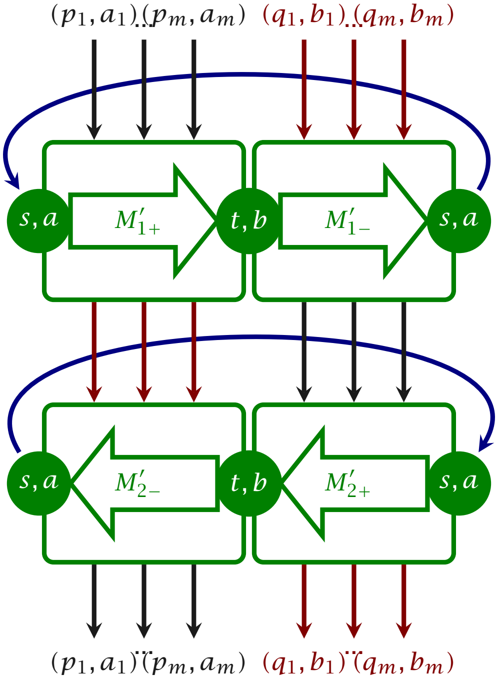
**Theorem** $\overline{\text{BRA Reachability Problem}} \leqslant_m$ Transitivity Problem

**Proof**

Let $M$, $(s,a)$, $(t,b)$ be an instance of the BRA Reachability Problem and $M'$ be a copy of $M$ with a third symbol $\$$.

Apply *Reversing time* to 2 copies of $M'$ to construct an innocuous TM $I$ as follows.

SMART$^I$ is transitive iff $(t,b)$ is not reachable from $(s,a)$. ■



$(p_1, a_1) \dots (p_m, a_m)$
$(q_1, b_1) \dots (q_m, b_m)$
$s, a$
$M'_{1+}$
$t, b$
$M'_{1-}$
$s, a$
$s, a$
$M'_{2-}$
$t, b$
$M'_{2+}$
$s, a$
$(p_1, a_1) \dots (p_m, a_m)$
$(q_1, b_1) \dots (q_m, b_m)$

# Conclusion

**Theorem** **Transitivity** is $\Pi_1^0$-hard in TMH, TMT and ST.

**Theorem** **Minimality** is $\Sigma_1^0$-hard in TMT and ST.

What is the exact complexity of both these properties?

Is there some kind of Rice theorem for dynamical properties?

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