

Satisfaction classes with the full collection scheme

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42ème Journées sur les Arithmétiques Faibles, Karlovassi
September 25, 2023

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By CT we mean CT^- with full induction (in the extended language).

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We prove the above proposition by showing by induction on the number of steps in proofs that any formulae provable in PA is true under any assignment, thus showing that the uniform reflection holds in CT. The above argument overtly uses Π_1 -induction, but we can do better.

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Let CT_0 be CT^- with induction for Δ_0 -formulae containing the truth predicate. Then CT_0 is not conservative over PA.

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How about pure collection? It is a classical result that the full induction scheme is equivalent to Δ_0 -induction together with the instances of the following **collection scheme**:

$$\forall x < a \exists y \phi(x, y) \longrightarrow \exists b \forall x < a \exists y < b \phi(x, y).$$

Problem (Kaye)

Is CT^- with the full collection scheme (for the extended language) a conservative extension of PA?

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In order to prove the theorem, it is enough to prove the following result:

Theorem

Let $M \models PA$ be an arbitrary countable model. Then there exists an ω_1 -like elementary extension $M' \succ M$ and $T \subseteq M'$ such that $(M', T) \models CT^-$ and thus automatically $(M', T) \models CT^- + \text{Coll}(L_{\text{PAT}})$.

There is one obvious prove strategy which *does not* work. It would be enough to show that for any countable $(M, T) \models \text{CT}^-$, we can find a proper end-extension $(M', T') \supset_e (M, T)$ to a model of CT^- .

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- Notice that such an extension is automatically elementary in the arithmetical part. If $M \models \phi(a)$, then $(M, T) \models T(\phi(\underline{a}))$, so $(M', T') \models T'(\phi(\underline{a}))$ and since it also a model of CT^- , $M' \models \phi(a)$.

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So this really *almost* works.

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Fix $a' < a$ such that $T' \phi(a', c)$. Since $M' \supset_e M$, $a' \in M$. By the first clause, we know that there exists $d \in M$ such that $(M, T) \models T \phi(a', d)$. This contradicts the uniqueness of y such that $T' \phi(a', y)$. □

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Theorem

Let $(M, T) \models \text{CT}^- + \text{INT}$ be a countable model. Then there exists a proper end-extension $(M, T) \subset (M', T') \models \text{CT}^- + \text{INT}$.

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We will assume that our satisfaction classes satisfy Tarski's compositional conditions on sets of formulae closed under direct subformulae. We will also assume that they satisfy some strong regularity properties. This is required both for the proof and to assure that they really correspond to models of CT^- .

We will denote the axioms for full satisfaction classes CS^- . If I is a cut, then $CS^- \upharpoonright I$ are axioms stating that S satisfies compositional clauses for all formulae ϕ with $\text{dpt}(\phi) \in I$ (but with *arbitrary* assignments).

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One more bit of classical models of PA.

The proof of the theorem comes in two steps.

Lemma (Slicing)

Suppose that $(M, S) \models \text{CS}^- + \text{INT}$. Then there exists a model $(M', S') \supseteq (M, S)$ such that:

- $(M', S') \models \text{CS}^- \upharpoonright M + \text{INT}$.
- For any $a \in M$ and any function $f : [0, a] \rightarrow M'$ coded in M' , the image $f \cap M$ is not cofinal in M . (M is **semiregular** in M').

One more bit of classical models of PA. Recall that the extension $M \preceq M'$ is **conservative** if for any A definable in M' (with parameters), $A \cap M$ is definable in M .

Fix a model $(M, S) \models \text{CS}^- + \text{INT}$ and introduce a family of predicates S_ϕ for $\phi \in \text{Form}_{\text{PA}}(M)$ defined by:

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For semiregularity: let $a \in M$ and let $f \in M'$ be a function from a to M' . By conservativity, the set $f \cap M$ is definable in $(M, S_\phi)_{\phi \in M}$. Since the latter structure satisfies induction, the image of f is not cofinal in M . \square

Notice that since M' is recursively saturated, the extension $M \preceq_E M'$ is *not* conservative.

Lemma (Upwards Extension)

Let $(M, S) \models \text{CS}^- \upharpoonright I + \text{INT}$, where I is a semiregular nonstandard cut in M . Then there exists $S' \supseteq S$ such that $(M, S') \models \text{CS}^- + \text{INT}$.

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The Lemma fails, if we drop the semiregularity assumption, even assuming that I is an elementary submodel. The counterexample (joint with Roman Kossak) uses a technique called disjunctions with stopping conditions. In the counterexample, we use a pair of models $M \preceq M'$ such that M' codes a cofinal increasing ω -sequence in M .

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Essentially, a syntactic template of a formula is its normal form:

- all terms composed of closed terms and free variables are collapsed to single distinct free variables;
- all bound variables are distinct (although they may appear several times in the same formula; they are just quantified over exactly once);
- bound and free variables are chosen in some canonical way so that a formula ϕ with syntactic depth in M will have its template $\hat{\phi} \in M$.

Fix a model $(M, S) \models CS^- \upharpoonright I + INT$. We will define a mapping $f : \text{Temp}(M) \rightarrow \text{Temp}(I)$ preserving syntactic operations which is an identity on $\text{Temp}(I)$.

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$$S'(\phi, \alpha) :\equiv S(f(\phi), \alpha).$$

(This makes sense, since we assume that S satisfies some regularity conditions). Since f will preserve syntactic operations (in particular it will not change the variables in the outermost quantifiers), S' will satisfy compositional clauses.

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- For an arbitrary $i \leq n$,

$$f_n \upharpoonright U(\phi_n, a_n) \cap U(\phi_i, a_n) = f_i \upharpoonright U(\phi_n, a_n) \cap U(\phi_i, a_n).$$

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$$\phi = \xi_0 \triangleleft \xi_1 \triangleleft \dots \triangleleft \xi_d = \psi,$$

such that $\hat{\xi}_i \in U(\phi_{n+1}, a_{n+1})$ for all i , where $\hat{\xi}$ is the syntactic template of ξ .

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Since we want f_{n+1} to preserve syntactic operations, we only have to (and we are only allowed to) define it on the templates ψ which are \trianglelefteq^* weakly minimal, where a template is **weakly minimal** if at least one of its direct subformulae does not have a template in $U(\phi_{n+1}, a_{n+1})$.

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$$a_{n+1}2^{a_{n+1}} \leq \frac{a_n}{2}.$$

For the \sqsubseteq^* -weakly minimal templates ψ in $U(\phi_{n+1}, a_{n+1})$, we define $f_{n+1}(\psi)$ as follows:

- If $\psi \in \text{dom}(f_k)$ for some $k \leq n$, we set $f_{n+1}(\psi) = f_k(\psi)$, where k is the greatest such index. (We take $f_{n+1}(\zeta \odot \eta) = f_k(\zeta) \odot f_{n+1}(\eta)$).

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- Otherwise, we set $f_{n+1}(\psi)$ to be template of the unique formula obtained by substituting $0 = 0$ for any subformula of ϕ at the syntactic depth b_{n+1} (We take $f_{n+1}(\zeta \odot \eta) = \text{truncation}(\zeta) \odot f_{n+1}(\eta)$).

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Claim II

$$f_{n+1} \upharpoonright U(\phi_{n+1}, a_{n+1}) \cap U(\phi_k, a_{n+1}) = f_k \upharpoonright U(\phi_{n+1}, a_{n+1}) \cap U(\phi_k, a_{n+1}).$$

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By the induction hypothesis, for all $m \geq k$, if $\eta \in U(\phi_m, a_n) \cap U(\phi_k, a_n)$, then $f_m(\eta) = f_k(\eta)$.

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Take any $\psi \in U(\phi_{n+1}, a_{n+1}) \cap U(\phi_k, a_{n+1})$. By construction, $f_{n+1}(\psi)$ is uniquely determined by the values of f_{n+1} at \leq^* -smaller templates in $U(\phi_{n+1}, a_{n+1})$. Notice that there are at most $a_{n+1}2^{a_{n+1}}$ such templates. In particular, if $\psi \in U(\phi_k, a_{n+1})$, then actually all the \leq^* -smaller templates are in $U(\phi_k, a_n)$.

By the induction hypothesis, for all $m \geq k$, if $\eta \in U(\phi_m, a_n) \cap U(\phi_k, a_n)$, then $f_m(\eta) = f_k(\eta)$. In particular for all minimal and (using induction internally in the model) weakly minimal templates $\eta \leq^*$ -below ψ , $f_k(\eta) = f_{n+1}(\eta)$, guaranteeing that $f_k(\psi) = f_{n+1}(\psi)$.

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- You could either try to get the analogous model-theoretic result for models of collection, since we are not using the full power of either conservativity or semiregularity.
- Or possibly, work only with the models in which the value of a truth predicate only depends on what happens at some uniformly fixed syntactic depth a . (Models arising from Pakhomov's construction of a satisfaction class have this property).

Thank you for your attention!