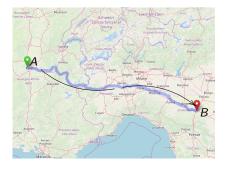
# Enriched Concurrent Games: Witnesses for Proofs and Resource Analysis

Aurore Alcolei

PhD defense - October, 17 2019

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#### Finding our way in semantics





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Theorem example first order : V A : Type, V P Q : A → Prop, (Ba: A, Pa) v (Ba: A, Qa) - Ba: A, Pav Qa. Proof. prove all. (\* Let A : Type be arbitrary but fixed. It remains to show VPQ: A - Prop, (B a : A, P a) v (B a : A, Q a) - B a : A, P a v Q a\*) prove all. (\* Let P : A → Prop be arbitrary but fixed. It n V 0 : A → Prop. (3 a : A, P a) v (3 a : A, Q a) - 3 a : A, P a v Q a\*) prove all. (\* Let 0 : A → Prop be arbitrary but fixed. It remains to show prove imp. (\* Let (3 a : A, P a) v (3 a : A, O a) be assumed. It remains to show 3 a : A, P a v Q a\*) use or H. (\* For this it suffices to show that we can show 3 a : A, P a v Q a under the assumption 3 a : A, P a and that we can show Ba: A, Pav Q a under the assumption Ba: A, Qa\*) (\* Let us first assume 3 a : A, P a and show 3 a : A, P a v Q a \*) use ex H. (\* It suffices to show  $\exists$  a : A, P a v Q for an arbitrary but fixed prove ex a.

#### Finding our way in semantics



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Denotational semantics

Operational semantics

$$P = \text{fun } x \mapsto 4 * x$$

What does P compute?

#### Denotational semantics.

$$\begin{bmatrix} P \end{bmatrix} = \\
 \begin{bmatrix} \mathbb{N} & \to & \mathbb{N} \\
 & n & \mapsto & 4n 
 \end{bmatrix}$$

#### Operational semantics.

$$P 2 = (fun x \mapsto 4 * x) 2$$

$$\rightarrow (4 * 2)$$

$$\rightarrow 8$$

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$$\begin{array}{rcl} P & 2 & = & (\operatorname{fun} x \mapsto 4 * x) & 2 \\ & & \rightarrow & (4 * 2) \\ & & \rightarrow & 8 \end{array}$$

```
twice = fun f \mapsto \text{fun } x \mapsto f (f x)
double = fun y \mapsto y + y
P = twice double
```

What does P compute?

#### Denotational semantics.

$$\begin{bmatrix} P \end{bmatrix} = \\
 \begin{bmatrix} \mathbb{N} & \to & \mathbb{N} \\
 & n & \mapsto & 4n 
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#### Operational semantics.

P 2 
$$\rightarrow$$
 (fun  $f \mapsto$  fun  $x \mapsto f$  ( $f$   $x$ )) double 2  
 $\rightarrow$  (fun  $x \mapsto$  double (double  $x$ )) 2  
 $\rightarrow$  double (double 2)  
= (fun  $y \mapsto y + y$ ) (double 2)  
 $\rightarrow$  (double 2) + (double 2)  
= (fun  $y \mapsto y + y$  2) + (double 2)  
 $\rightarrow$  (2 + 2) + (double 2)  
= (2 + 2) + ((fun  $y \mapsto y + y$ ) 2)  
 $\rightarrow$  (2 + 2) + (2 + 2)  
 $\rightarrow$  8

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twice = fun f \mapsto \text{fun } x \mapsto f (f x)
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What does P compute?

#### Denotational semantics.

$$\begin{bmatrix} P \end{bmatrix} = \\
 \begin{bmatrix} \mathbb{N} & \to & \mathbb{N} \\
 n & \mapsto & 4n 
 \end{bmatrix}$$

compositionality:

$$[\![P]\!] = [\![twice]\!] \circ [\![double]\!]$$

• invariant of computation:

$$P \Downarrow v \text{ iff } \llbracket P \rrbracket = \llbracket v \rrbracket$$

#### Operational semantics.

P 2 
$$\rightarrow$$
 (fun  $f \mapsto$  fun  $x \mapsto f$  ( $f$   $x$ )) double 2  
 $\rightarrow$  (fun  $x \mapsto$  double (double  $x$ )) 2  
 $\rightarrow$  double (double 2)  
= (fun  $y \mapsto y + y$ ) (double 2)  
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 $\rightarrow$  (2 + 2) + (2 + 2)  
 $\rightarrow$  8

## Curry-Howard isomorphism

Type system

$$\frac{\Gamma, x: A \vdash P(x): B}{\Gamma, x: A \vdash X: A} \qquad \frac{\Gamma, x: A \vdash P(x): B}{\Gamma \vdash (\mathsf{fun} \ x \mapsto P(x)): A \to B} \qquad \frac{\Gamma \vdash P: A \to B \quad \Gamma \vdash M: A}{\Gamma \vdash P \ M: B}$$

$$\frac{\overline{\Gamma, x : A \vdash P(x) : B}}{\Gamma \vdash (\operatorname{fun} x \mapsto P(x)) : A \to B} \quad \frac{\pi_2}{\Gamma \vdash M : A}$$

$$\Gamma \vdash (\operatorname{fun} x \mapsto P(x)) M : B$$

## Curry-Howard isomorphism

#### Deduction system

$$\frac{\Gamma, \times : A \vdash P(\times) : B}{\Gamma, \times : A \vdash \times : A} \qquad \frac{\Gamma, \times : A \vdash P(\times) : B}{\Gamma \vdash (\operatorname{fun} \times \mapsto P(\times)) : A \to B} \qquad \frac{\Gamma \vdash P : A \to B \quad \Gamma \vdash M : A}{\Gamma \vdash P M : B}$$

$$\frac{\frac{\Gamma}{\Gamma, \times : A \vdash P(x) : B}}{\frac{\Gamma \vdash (\text{fun } x \mapsto P(x)) : A \to B}{\Gamma \vdash (\text{fun } x \mapsto P(x)) : M : B}} \frac{\pi_2}{\Gamma \vdash M : A}$$

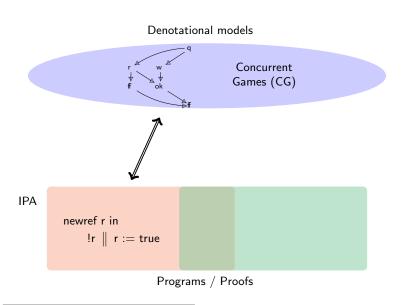
→ Computational meaning of proofs: proofs as programs/functions.

#### Denotational models

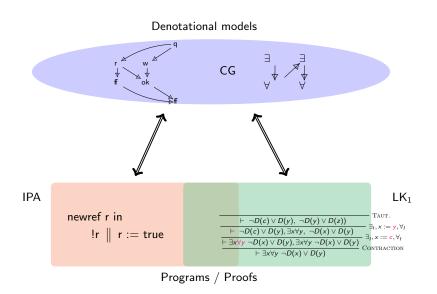


 ${\sf Programs} \; / \; {\sf Proofs}$ 

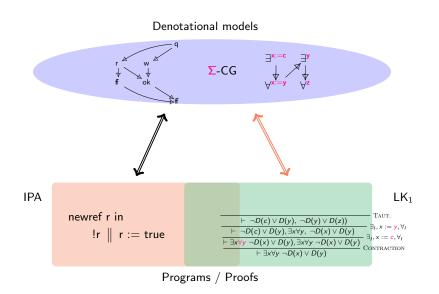
# Denotational models $R \subseteq A \times B$ $\sigma: A \longrightarrow B$ $f:A\to B$ while jump LL $\forall X, \exists X$ rand(0,1)∨, ∧ ... fun / LJ newref || $\forall x, \exists x$ LK Programs / Proofs



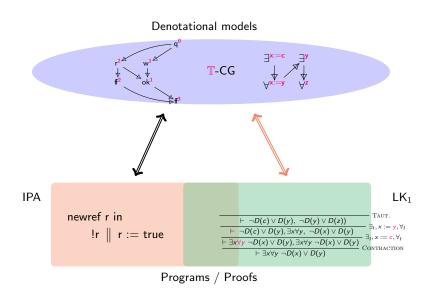
[Mel05] Melliès. Asynchronous games



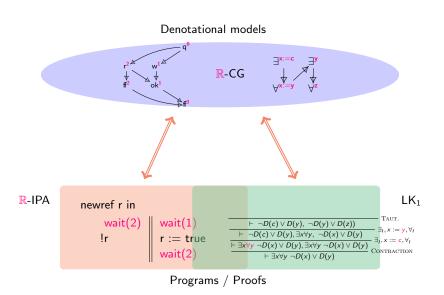
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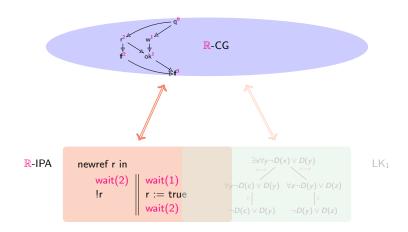


 $[\mathsf{Mel05}] \ \mathsf{Melli\`es}. \ \mathsf{Asynchronous} \ \mathsf{games}$ 



[Mel05] Melliès. Asynchronous games

#### Annotated concurrent games for time analysis



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$$\mathsf{P} =$$

$$\begin{array}{c} \text{newref } r \text{ in} \\ \\ \text{!r} \parallel r := \text{true} \end{array}$$

#### Computational adequacy.

$$P \Downarrow \ v \qquad \text{iff} \qquad [\![v]\!] \ = [\![P]\!], \qquad \qquad v \in \{\mathsf{true}, \mathsf{false}\}$$

$$\mathsf{P} =$$

$$\begin{array}{c} \text{newref } r \text{ in} \\ \\ \text{!r} \parallel r := \text{true} \end{array}$$

#### Computational adequacy.

$$P \Downarrow \ v \qquad \text{iff} \qquad \llbracket v \rrbracket \ \in \llbracket P \rrbracket, \qquad \qquad v \in \{\mathsf{true}, \mathsf{false}\}$$

-

$$P =$$

$$\begin{array}{c} \text{newref } r \text{ in} \\ \\ \text{!r} \parallel r := \text{true} \end{array}$$

Computational adequacy.

$$P \Downarrow^t v \qquad \text{ iff } \qquad \llbracket v \rrbracket^t \in \llbracket P \rrbracket, \qquad \qquad v \in \{\mathsf{true}, \mathsf{false}\}$$

- Q. What is the minimal amount of time necessary to run P?
  - $\rightarrow$  to get true?
  - $\rightarrow$  to get false?

$$P =$$

```
 \begin{array}{c|c} \text{newref r in} \\ & \text{wait}(2) & \text{wait}(1) \\ & \text{!r} & \text{r} := \text{true} \\ & \text{wait}(2) \end{array}
```

#### Computational adequacy.

$$P \downarrow^t v$$
 iff  $\llbracket v \rrbracket^t \in \llbracket P \rrbracket$ ,  $v \in \{\mathsf{true}, \mathsf{false}\}$ 

- Q. What is the minimal amount of time necessary to run P?
  - $\rightarrow$  to get true?
  - $\rightarrow$  to get false?

## The R-IPA language

Types:

Syntax:

$$\begin{array}{lll} \textit{M}, \textit{N} & := & \mid \textit{x} \mid \lambda \textit{x.t} \mid \textit{MN} \\ & \mid \mathsf{true} \mid \mathsf{false} \mid \mathsf{ifte} \; \textit{b} \; \textit{M} \; \textit{N} \\ & \mid \mathsf{skip} \mid \textit{M}; \textit{N} \mid \textit{M} \mid \mid \textit{N} \mid \bot \\ & \mid \mathsf{wait}(\alpha) & \mathsf{with} \; \alpha \in \mathbb{R} \\ & \mid \mathsf{newref} \; r \; \mathsf{in} \; \textit{M} \mid !\textit{M} \mid \textit{M} := \mathsf{true} \end{array}$$

P =

P =

wait(2),

wait(2), !r,

wait(2), !r, wait(1),

,

```
wait(2), !r, wait(1), r:=true,
```

wait(2), !r, wait(1), r:=true, wait(2)

```
\begin{array}{c} P = \\ & \text{newref r in} \\ & \text{wait}(2) \\ & \text{!r} \end{array} \quad \begin{array}{c} \text{wait}(1) \\ \text{r} := \text{true} \\ & \text{wait}(2) \end{array}
```

 $P \Downarrow^5 \text{ false}$ 

wait(1),

9

```
P = \frac{\text{newref r in}}{\text{wait(2)}} \| \text{wait(1)}
! \text{r} = \text{true}
\text{wait(2)}
\text{wait(2), !r, wait(1), r:=true, wait(2)} \quad P \Downarrow^5 \text{ false}
\text{wait(1), wait(2),}
```

```
\begin{array}{c|c} P = & \\ & \text{newref r in} \\ & \text{wait}(2) \\ & \text{!r} \\ & \text{wait}(1) \\ & \text{r} := \text{true} \\ & \text{wait}(2) \end{array}
```

```
\label{eq:wait(2)} \begin{array}{ll} \mbox{wait(2), !r, wait(1), r:=true, wait(2)} & P \Downarrow^5 \mbox{false} \\ \mbox{wait(1), wait(2), r:=true,} \end{array}
```

•

```
\begin{array}{c} \text{newref r in} \\ \text{wait}(2) \\ \text{!r} \\ \end{array} \begin{array}{c} \text{wait}(1) \\ \text{r} := \text{true} \\ \text{wait}(2) \end{array}
```

```
wait(2), !r, wait(1), r:=true, wait(2) P \Downarrow^5 false wait(1), wait(2), r:=true, !r,
```

ç

```
P = \frac{\text{newref r in}}{\text{wait}(2)} \left\| \begin{array}{c} \text{wait}(1) \\ \text{r := true} \\ \text{wait}(2) \end{array} \right|
\text{wait}(2)
\text{wait}(2), \text{!r, wait}(1), \text{r:=true, wait}(2) \qquad P \Downarrow^5 \text{ false} \\ \text{wait}(1), \text{wait}(2), \text{r:=true, !r, wait}(2) \qquad P \Downarrow^5 \text{ true}
```

# Slot games<sup>1</sup>

$$P =$$

newref r in

wait(2) 
$$\parallel$$
 wait(1)

!r  $\parallel$  r := true

wait(2)

```
 \begin{array}{ll} \mbox{wait(2), !r, wait(1), r:=true, wait(2)} & P \Downarrow^5 \mbox{false} \\ \mbox{wait(1), wait(2), r:=true, !r, wait(2)} & P \Downarrow^5 \mbox{true} \end{array}
```

$$\llbracket P \rrbracket = \{\mathsf{run} \ \ \textcircled{2} \ \ \textcircled{1} \ \ \textcircled{2} \ \ \mathsf{ff}, \ \ \mathsf{run} \ \ \textcircled{1} \ \ \textcircled{2} \ \ \textcircled{2} \ \ \mathsf{tt}, \ \ldots \}$$

Computational adequacy:  $P \downarrow^t v$  iff  $\exists s \in \llbracket M \rrbracket$  st |s| = t

<sup>&</sup>lt;sup>1</sup>[Ghica05] Ghica. Slot games: a quantitative model of computation

Q: What about multicore systems?

P =

```
 \begin{array}{c|c} \text{newref r in} \\ & \text{wait}(2) & \text{wait}(1) \\ !r & \text{r} := \text{true} \\ & \text{wait}(2) \end{array}
```

P =

P =

```
 \begin{array}{c|c} \text{newref r in} \\ & \text{wait}(2) \\ \text{!r} \\ & \text{!r} \\ & \text{wait}(1) \\ \text{r} := \text{true} \\ & \text{wait}(2) \\ \end{array}
```

$$P =$$

### newref r in

P =

### newref r in

 $P \Downarrow^4 \text{ false } !$ 

```
P =
```

```
P =
```

```
 \begin{array}{c|c} \text{newref r in} \\ & \text{wait}(2) & \text{wait}(1) \\ & \text{!r} & \text{r} := \text{true} \\ & \text{wait}(2) \end{array}
```

P =

```
 \begin{array}{c|c} \text{newref r in} \\ & \text{wait(2)} \\ \text{!r} \\ & \text{|r} \\ & \text{|wait(1)} \\ & \text{|wait(2)} \\ \end{array}
```

P =

### newref r in

 $P \Downarrow^3 \text{true } !$ 

True concurrency?

Q: What about multicore systems?

$$\frac{\langle M, s, t \rangle \to \langle M', s', t' \rangle}{\langle M||N, s, t \rangle \to \langle M'||N, s', t' \rangle} \dots$$

$$\frac{\langle M, s, t \rangle \rightarrow^* \langle M', s', t' \rangle \quad \langle N, s, t \rangle \rightarrow^* \langle N', s'', t'' \rangle}{\langle M \mid\mid N, s, t \rangle \rightarrow^* \langle M' \mid\mid N', s' \vee s'', \max(t', t'') \rangle} \xrightarrow{s', s'' \text{non interfering}}$$

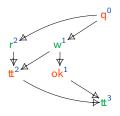
True concurrency?

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$$\frac{\langle M, s, t \rangle \to \langle M', s', t' \rangle}{\langle M||N, s, t \rangle \to \langle M'||N, s', t' \rangle} \dots$$

$$\frac{\langle M, s, t \rangle \rightarrow^* \langle M', s', t' \rangle \quad \langle N, s, t \rangle \rightarrow^* \langle N', s'', t'' \rangle}{\langle M \mid\mid N, s, t \rangle \rightarrow^* \langle M' \mid\mid N', s' \vee s'', \max(t', t'') \rangle} \xrightarrow{s', s'' \text{ non interfering}}$$

## Annotated concurrent games



 $[CC16]^2$  + time annotations

<sup>&</sup>lt;sup>2</sup>[CC16] Castellan and Clairambault. Causality vs. interleavings in concurrent game semantics

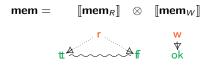
Types as games

#### Definition

A game is an event structure with polarity:  $(|A|, \leq_A, \#_A, pol_A)$ 

 $\mathscr{C}(A)$  is the set of **configurations**: down-closed compatible subsets of A.

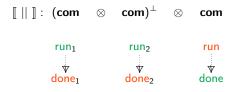
Types as games



## Constructions on games.

- If A is a game,  $A^{\perp}$  has the same structure with polarity inverted.
- If A, B are games,  $A \otimes B$  has events |A| + |B|, and components inherited.

Programs as strategies

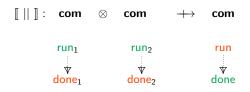


### Definition

A play  $(q, \leq_q)$ : A is a partial order s.t.:

\* (rule respecting)  $\mathscr{C}(q) \subseteq \mathscr{C}(A)$  \* (courteous)  $a \to b$ 

Programs as strategies

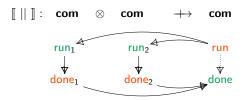


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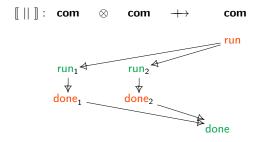


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Programs as strategies

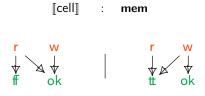


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Programs as strategies



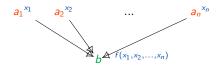
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A play  $(q, \leq_q)$ : A is a partial order s.t.:

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A strategy is a down-closed set of plays (with extra conditions).

● Programs as ℝ-strategies



#### Definition

A play  $(q, \leq_q)$ : A is a partial order s.t.:

\* (rule respecting)  $\mathscr{C}(q) \subset \mathscr{C}(A)$  \* (courteous)  $a \to b$ 

A  $\mathbb{R}$ -annotation for q is a mapping  $\lambda:(b\in|q|^P)\longrightarrow(\mathbb{R}^{[b]_O}\to\mathbb{R})$ .

A  $\mathbb{R}$ -strategy is a down-closed set of  $\mathbb{R}$ -annotated plays (with extra conditions).

• Programs as  $\mathbb{R}$ -strategies



### Definition

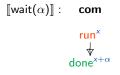
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• Programs as R-strategies



### Definition

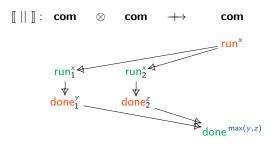
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● Programs as ℝ-strategies



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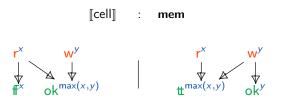
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Programs as ℝ-strategies



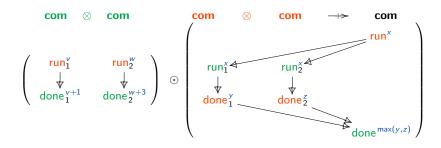
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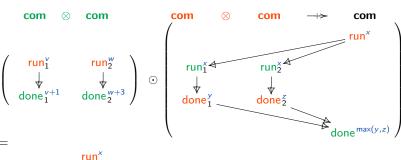
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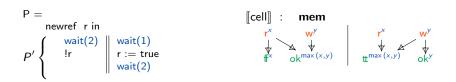
done  $^{\max(x+1,x+3)=x+3}$ 

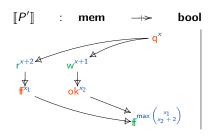
$$\llbracket \mathsf{wait}(1) \mid\mid \mathsf{wait}(3) \rrbracket \qquad = \qquad \llbracket \mid\mid \rrbracket \odot (\llbracket \mathsf{wait}(1) \rrbracket \otimes \llbracket \mathsf{wait}(3) \rrbracket)$$

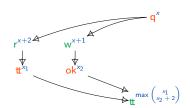


run^

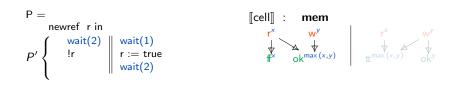
Example

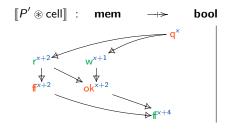






Example



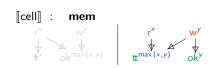


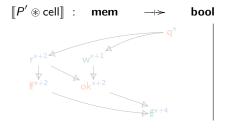


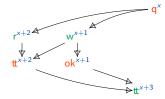
Example

1

 $P = \\ \text{newref r in} \\ \rho' \left\{ \begin{array}{c} \text{wait}(2) \\ \text{!r} \end{array} \right. \left. \begin{array}{c} \text{wait}(1) \\ \text{r := true} \\ \text{wait}(2) \end{array} \right.$ 







### Interpretation of $\mathbb{R}$ -IPA

#### Theorem

Games and  $\mathbb{R}$ -strategies with  $\odot, \otimes, \perp$  form a compact closed category.

In fact, well-threaded negative games and  $\mathbb{R}$ -strategies form a symmetric monoidal closed category (smcc) with products.

$$\begin{array}{lll} \textit{M}, \textit{N} & := & \mid \textit{x} \mid \lambda \textit{x}.t \mid \textit{MN} \\ \mid \mathsf{true} \mid \mathsf{false} \mid \mathsf{ifte} \; \textit{b} \; \textit{M} \; \textit{N} \\ \mid \mathsf{skip} \mid \textit{M}; \textit{N} \mid \textit{M} \mid \mid \textit{N} \mid \bot & & \vee \\ \mid \mathsf{wait}(\alpha) & & \vee \\ \mid \mathsf{newref} \; \textit{r} \; \mathsf{in} \; \textit{M} \mid !\textit{M} \mid \textit{M} := \mathsf{true} & & \vee \end{array}$$

 $\llbracket \Gamma \vdash M : A \rrbracket : \llbracket \Gamma \rrbracket \longrightarrow \llbracket A \rrbracket$ 

### Interpretation of $\mathbb{R}$ -IPA

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$$\begin{array}{lll} \textit{M}, \textit{N} & := & \mid \textit{x} \mid \lambda \textit{x}.\textit{t} \mid \textit{MN} & & \checkmark \\ & \mid \mathsf{true} \mid \mathsf{false} \mid \mathsf{ifte} \textit{ b} \textit{ M} \textit{ N} & & \checkmark \\ & \mid \mathsf{skip} \mid \textit{M}; \textit{N} \mid \textit{M} \mid \mid \textit{N} \mid \bot & & \checkmark \\ & \mid \mathsf{wait}(\alpha) & & & \checkmark \\ & \mid \mathsf{newref} \textit{ r} \mathsf{in} \textit{ M} \mid !\textit{M} \mid \textit{M} \mathrel{\mathop:}= \mathsf{true} & & \checkmark \end{array}$$

Soundness: If 
$$M \downarrow^t v$$
 then  $q^x \rightarrow v^{x+t'} \in [\![M]\!]$  with  $t' \leq t$ .

Resource bimonoid

$$\mathsf{wait}(\alpha), \ \alpha \in \mathbb{R} \qquad \leadsto \qquad \mathsf{consume}(\alpha), \ \alpha \in \mathcal{R}$$

### **Theorem**

Adequacy: If  $q^x \rightarrow v^{x+t} \in [\![M]\!]$  then  $M \downarrow^t v$ .

<sup>&</sup>lt;sup>3</sup>[ACL19] A., Clairambault and Laurent. Resource-tracking concurrent games

### **Theorem**

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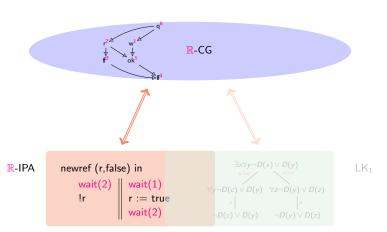
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### **Theorem**

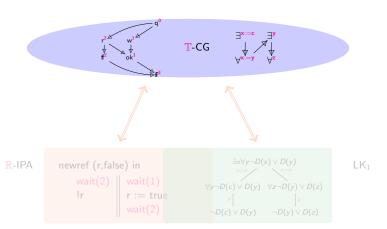
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<sup>&</sup>lt;sup>3</sup>[ACL19] A., Clairambault and Laurent. Resource-tracking concurrent games

# Annotated concurrent games



### Annotated concurrent games



Concurrent games: CG

### Definition

A game is an event structure with polarity:  $(|A|, \leq_A, \#_A, pol_A)$ 

A play  $(q, \leq_q)$ : A is a partial order s.t.:

\* (rule respecting)  $\mathscr{C}(q) \subseteq \mathscr{C}(A)$  \* (courteous)  $a \to b$ 

A strategy is a down-closed set of annotated plays (with extra conditions).

#### Theorem

Games and strategies with  $\odot, \otimes, \perp$  form a compact closed category.

Annotated concurrent games: R-CG

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A play  $(q, \leq_q)$ : A is a partial order s.t.:

\* (rule respecting)  $\mathscr{C}(q) \subseteq \mathscr{C}(A)$  \* (courteous)  $a \to b$ 

A  $\mathbb{R}$ -annotation for q is a mapping  $\lambda: (b \in |q|^p) \longrightarrow (\mathbb{R}^{[s]^O} \to \mathbb{R})$ .

A  $\mathbb{R}$ -strategy is a down-closed set of  $\mathbb{R}$ -annotated plays (with extra conditions).

#### **Theorem**

Games and  $\mathbb{R}$ -strategies with  $\odot, \otimes, \perp$  form a compact closed category.

Equational theory:  $\mathbb{T} = (\theta, \Sigma, \equiv)$ 

#### Definition

A  $\mathbb{T}$ -game is an event structure with polarity:  $(|A|, \leq_A, \#_A, \operatorname{pol}_A)$  together with a typing function  $|A| \to \theta$ .

A play  $(q, \leq_q)$ : A is a partial order s.t.:

\* (rule respecting)  $\mathscr{C}(q) \subseteq \mathscr{C}(A)$  \* (courteous)  $a \to b$ 

A  $\mathbb{T}$ -annotation for q is a mapping  $\lambda: (b \in |q|^P) \longrightarrow \mathbb{T}(\theta([s]_O), \theta(s))$ .

A  $\mathbb{T}$ -strategy is a down-closed set of  $\mathbb{T}$ -annotated plays (with extra conditions).

#### **Theorem**

 $\mathbb{T}$ -games and  $\mathbb{T}$ -strategies with  $\odot, \otimes, \perp$  form a compact closed category.

■ Real functions: R-CG

$$\begin{split} \theta &= \{\mathbb{R}\} \\ f &\in \Sigma^n \text{ iff } f : \mathbb{R}^n \to \mathbb{R} & \rightsquigarrow & \forall t \in \mathsf{Tm}(\mathcal{V}), \ \overline{t} : \mathbb{R}^{|\mathcal{V}|} \to \mathbb{R} \\ t_1 &\equiv t_2 \in \mathsf{Tm}(\mathcal{V}) \text{ iff } \overline{t_1} = \overline{t_2} & \leadsto & \overline{t_1} \overline{[t_2/x]} = \overline{t_1} \circ_x \overline{t_2} \end{split}$$

- Cartesian category:  $\mathcal{C}\text{-CG}$   $\theta=\mathcal{C}_0$  ...
- Terms:  $\Sigma$ -CG  $\mathbb{T} = (\{\bullet\}, \Sigma, \emptyset)$

2

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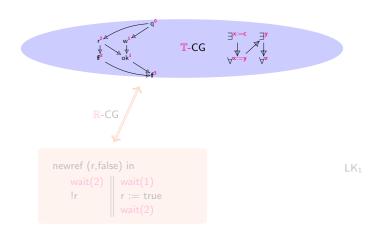
2

■ Real functions: R-CG

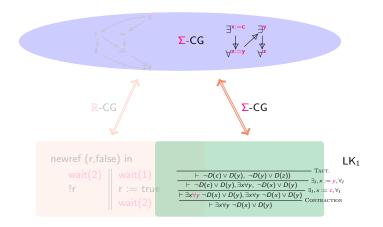
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- Cartesian category:  $\mathcal{C}$ -CG  $\theta = \mathcal{C}_0$  ...
- Terms:  $\Sigma$ -CG  $\mathbb{T} = (\{\bullet\}, \Sigma, \emptyset)$

# ANNOTATED CONCURRENT GAMES FOR HERBRAND'S THEOREM



# Annotated concurrent games for Herbrand's theorem



# Herbrand's theorem (Simple)

A purely existential formula  $\exists x \ \varphi(x)$  is valid in classical logic iff there is a finite set of witnesses  $t_1, \ldots, t_n \in Tm_{\Sigma}$  s.t.  $\models \varphi(t_1) \lor \ldots \lor \varphi(t_n)$ .

Example 
$$\models \exists x \neg D(x) \lor D(f(x))$$

$$\models (\neg D(c) \lor D(f(c))) \lor (\neg D(f(c)) \lor D(f(f(c))))$$

A purely existential formula  $\exists x \ \varphi(x)$  is valid in classical logic iff there is a finite set of witnesses  $t_1, \ldots, t_n \in Tm_{\Sigma}$  s.t.  $\models \varphi(t_1) \lor \ldots \lor \varphi(t_n)$ .

Example  $\models \exists x \neg D(x) \lor D(f(x))$ 

$$\models (\neg D(c) \lor D(f(c))) \lor (\neg D(f(c)) \lor D(f(f(c))))$$

$$\frac{ \vdash \neg D(c) \lor D(f(c)), \ \neg D(f(c)) \lor D(f(f(c))) }{ \vdash \neg D(c) \lor D(f(c)), \ \exists x \ \neg D(x) \lor D(f(x)) } \exists_{I, x} := f(c) \\ \frac{\vdash \exists x \ \neg D(x) \lor D(f(x)), \ \exists x \ \neg D(x) \lor D(f(x))}{\vdash \exists x \ \neg D(x) \lor D(f(x))} \exists_{I, x} := c \\ \underbrace{\vdash \exists x \ \neg D(x) \lor D(f(x))}_{\text{CONTRACTION}}$$

# Herbrand proofs

### Herbrand's theorem (General)

A 1<sup>st</sup> order formula  $\varphi$  is valid in classical logic iff it has a Herbrand proof.

Example 
$$\models \exists x \forall y, \neg D(x) \lor D(y)$$
 (DF)

### A proof for DF:

$$\frac{ \vdash \neg D(c) \lor D(y), \neg D(y) \lor D(z))}{ \vdash \neg D(c) \lor D(y), \exists x \forall y, \neg D(x) \lor D(y)} \exists_{I}, x := y, \forall_{I}$$

$$\frac{\vdash \exists x \forall y \ \neg D(x) \lor D(y), \exists x \forall y \ \neg D(x) \lor D(y)}{\vdash \exists x \forall y \ \neg D(x) \lor D(y)} \xrightarrow{\text{Contraction}}$$

# Herbrand proofs: Miller's expansion trees

### Herbrand's theorem (Miller, 1987)

A 1<sup>st</sup> order formula  $\varphi$  is valid in classical logic iff it has an expansion tree.

Example 
$$\models \exists x \forall y, \neg D(x) \lor D(y)$$
 (DF)

An expansion tree for DF:

$$\exists x \forall y \neg D(x) \lor D(y)$$

$$x := c$$

$$\forall y \neg D(c) \lor D(y) \quad \forall z \neg D(y) \lor D(z)$$

$$y \mid \qquad \qquad | z$$

$$\neg D(c) \lor D(y) \qquad \neg D(y) \lor D(z)$$

### Herbrand's theorem (Miller, 1987)

A 1<sup>st</sup> order formula  $\varphi$  is valid in classical logic iff it has an expansion tree.

Example 
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An expansion tree for DF:

$$\exists x \forall y \neg D(x) \lor D(y)$$

$$x := c$$

$$\forall y \neg D(c) \lor D(y) \quad \forall z \neg D(y) \lor D(z)$$

$$y \mid \qquad \qquad | z \qquad \text{validity}$$

$$\neg D(c) \lor D(y) \quad \neg D(y) \lor D(z) \qquad \models (\neg D(y) \lor D(y)) \qquad | c \mid |$$

acyclicity



$$\neg D(c) \lor D(y) \qquad \neg D(y) \lor D(z) \qquad \models (\neg D(c) \lor D(y)) \lor (\neg D(y) \lor D(z))$$

### Herbrand's theorem (Miller, 1987)

A 1<sup>st</sup> order formula  $\varphi$  is valid in classical logic iff it has an expansion tree.

Proof: By translation from the cut-free sequent calculus.  $\rightarrow$  not compositional.

Example 
$$\models \exists x \forall y, \neg D(x) \lor D(y)$$
 (DF)

An expansion tree for DF:

$$\exists x \forall y \neg D(x) \lor D(y)$$

$$x := c$$

$$\forall y \neg D(c) \lor D(y) \quad \forall z \neg D(y) \lor D(z)$$

$$y \mid \qquad \qquad | z$$

$$\neg D(c) \lor D(y) \quad \neg D(y) \lor D(z)$$

acyclicity



validity

$$\neg D(c) \lor D(y) \qquad \neg D(y) \lor D(z) \qquad \models (\neg D(c) \lor D(y)) \lor (\neg D(y) \lor D(z))$$

# Composable Expansion Trees?

**Syntactic approaches**: Heijltjes, <sup>4</sup> Hetzl and Weller, <sup>5</sup> McKinley, <sup>6</sup> via notions of Herbrand proofs with cuts.

$$\frac{\pi}{\vdash \varphi} \quad \leadsto \quad \llbracket \pi \rrbracket : \llbracket \varphi \rrbracket \qquad \qquad \sigma = \sigma_1 \odot \sigma_2$$

**Contribution**  $^7$  (semantic approach): Expansion trees as strategies in a concurrent game model (categories of winning  $\Sigma$ -strategies).

# Herbrand's theorem (Compositional Herbrand's theorem)

A 1<sup>st</sup> order formula  $\varphi$  is valid iff there is a winning  $\Sigma$ -strategy:  $\sigma : \llbracket \varphi \rrbracket$ .

<sup>&</sup>lt;sup>4</sup>[Hei10] Heijltjes. Classical proof forestry.

<sup>&</sup>lt;sup>5</sup>[HW13] Hetzl and Weller. Expansion trees with cut.

<sup>&</sup>lt;sup>6</sup>[MCK13] McKinley. Proof nets for Herbrand's theorem

<sup>&</sup>lt;sup>7</sup>[ACHW18] A., Clairambault, Hyland, Winskel. The True Concurrency of Herbrand's Theorem

An implicit two-player game played on the formula between ∃loïse and ∀bélard:

$$\exists x \forall y \neg D(x) \lor D(y)$$

$$\downarrow y \neg D(c) \lor D(y) \quad \forall z \neg D(y) \lor D(z)$$

$$\downarrow y \qquad \qquad \qquad | z$$

$$\neg D(c) \lor D(y) \qquad \neg D(y) \lor D(z)$$

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An interpretation of formulas as games and proofs as  $\Sigma$ -strategies:



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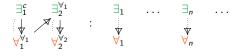
$$\downarrow y \neg D(c) \lor D(y) \quad \forall z \neg D(y) \lor D(z)$$

$$\downarrow y \quad | \quad z \quad |$$

$$\neg D(c) \lor D(y) \quad \neg D(y) \lor D(z)$$

An interpretation of formulas as games and proofs as winning  $\Sigma$ -strategies:

Consider the  $\Sigma$ -strategy  $\sigma : \llbracket \exists x \ \forall y \ \neg D(x) \lor D(y) \rrbracket$  over DF



Validity in expansion trees:

$$\models (\neg D(c) \lor D(\forall_1)) \lor (\neg D(\forall_1) \lor D(\forall_2))$$

Consider the  $\Sigma$ -strategy  $\sigma : \llbracket \exists x \ \forall y \ \neg D(x) \lor D(y) \rrbracket$  over DF

Validity in expansion trees:

$$\models (\neg D(c) \lor D(\forall_1)) \lor (\neg D(\forall_1) \lor D(\forall_2))$$

Can be decomposed into

$$\models \underbrace{(\neg D(\exists_1) \lor D(\forall_1)) \lor (\neg D(\exists_2) \lor D(\forall_2))}_{\text{Winning conditions}, \mathcal{W}_{DF}(|\sigma|)} \underbrace{[\exists_1 \mapsto c; \exists_2 \mapsto \forall_1]}_{\text{Labelling}, \lambda_{\sigma}}$$

# Winning conditions on arenas

### Definition

A game A is an arena A, together with winning conditions:

$$\mathcal{W}_{\mathcal{A}}: (x \in \mathscr{C}(A)) \mapsto \mathsf{QF}_{\Sigma}(x)$$

where  $\mathsf{QF}_\Sigma(x)$  is the set of **quantifier-free** formulas on signature  $\Sigma$  and free variables in x, extended with **countable** conjunctions and disjunctions.

To each configuration of  $[\exists x \ \forall y \ \neg D(x) \lor D(y)]$ , we associate a formula:

$$\exists_1 \qquad \exists_2 \qquad \dots \\ \psi \qquad \psi \\ \forall_1 \qquad \forall_2 \qquad \dots$$

 $\sigma$  is a winning on x if  $\models \mathcal{W}_A(x)[\lambda_{\sigma}]$ .

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To each configuration of  $[\exists x \ \forall y \ \neg D(x) \lor D(y)]$ , we associate a formula:

$$\rightarrow$$
  $\perp$ 

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  $\mapsto$ 

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 $\forall$ 
 $\forall$ 
 $\forall$ 
 $\forall$ 
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### Definition

A  $\Sigma$ -strategy  $\sigma: A$  is winning on  $\mathcal{W}_{\mathcal{A}}$  iff for all  $x \in \mathcal{C}^{\infty}(\sigma)$   $\exists$ -maximal,

$$\models \mathcal{W}_A(x)[\lambda_{\sigma}]$$

- $\rightarrow$  Two new constructors on games:  $\otimes$  (conjunction) and ? (disjunction) with units  $1 = (\emptyset, \mathcal{W}_1(\emptyset) = \top)$   $\perp = (\emptyset, \mathcal{W}_1(\emptyset) = \bot)$
- $\rightarrow$  Winning strategies  $\sigma: \mathcal{A}^{\perp} \ \mathcal{P} \ \mathcal{B}$  are stable under composition (\*-autonomous category).

### Propositional connectives (MLL \*-autonomous model)

Quantifiers

$$[\![\exists x\varphi]\!]_{\mathcal{V}} = \exists_{\mathsf{x}}.[\![\varphi]\!]_{\mathcal{V}\uplus\{x\}} \qquad [\![\forall x\varphi]\!]_{\mathcal{V}} = \forall_{\mathsf{x}}.[\![\varphi]\!]_{\mathcal{V}\uplus\{x\}}$$

Weakening: for any formula  $\varphi$ ,  $w_{\llbracket \varphi \rrbracket} : \bot \longrightarrow \llbracket \varphi \rrbracket$ 



Propositional connectives (MLL \*-autonomous model)

Quantifiers

$$[\![\exists x\varphi]\!]_{\mathcal{V}} = \exists_x. [\![\varphi]\!]_{\mathcal{V} \uplus \{x\}} \qquad [\![\forall x\varphi]\!]_{\mathcal{V}} = \forall_x. [\![\varphi]\!]_{\mathcal{V} \uplus \{x\}}$$



Propositional connectives (MLL \*-autonomous model)

### Quantifiers

$$[\![\exists x\varphi]\!]_{\mathcal{V}} = \underset{n \in \omega}{\bigvee} \exists_{x} . [\![\varphi]\!]_{\mathcal{V} \uplus \{x\}} \qquad [\![\forall x\varphi]\!]_{\mathcal{V}} = \bigotimes_{n \in \omega} \forall_{x} . [\![\varphi]\!]_{\mathcal{V} \uplus \{x\}}$$

Weakening: for any formula  $\varphi$ ,  $w_{\llbracket \varphi \rrbracket} : \bot \longrightarrow \llbracket \varphi \rrbracket$ 

# Back to Herbrand's proofs

## Herbrand's theorem (Compositional Herbrand's theorem)

A 1<sup>st</sup> order formula  $\varphi$  is valid iff there is a winning  $\Sigma$ -strategy:  $\sigma : \llbracket \varphi \rrbracket$ .

### Proof:

- $\Rightarrow$  Interpret the classical sequent calculus LK<sub>1</sub>.
- $\Leftarrow \ \ Winning \ strategies \ ressemble \ expansion \ trees \ ...$

### Lemma (Compactness)

From every winning strategy  $\sigma: \llbracket \varphi \rrbracket$  one can effectively extract a finite expansion tree for  $\varphi$ .

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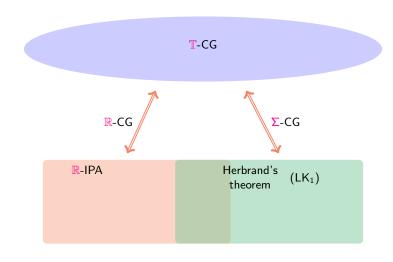
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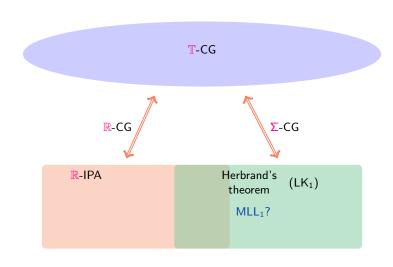
- $\Rightarrow$  Interpret the classical sequent calculus LK<sub>1</sub>.
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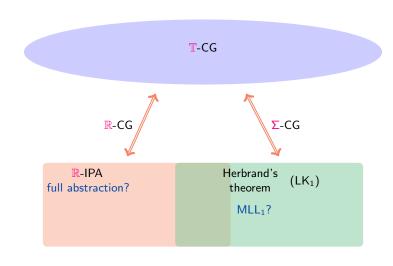
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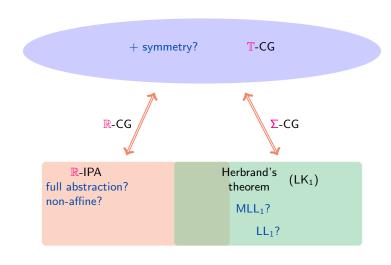
From every winning strategy  $\sigma$  :  $[\![\varphi]\!]$  one can effectively extract a finite expansion tree for  $\varphi$ .

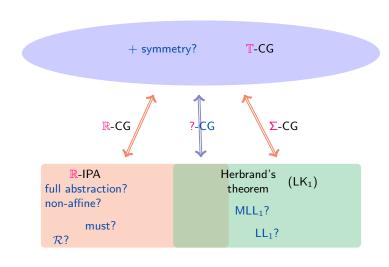
Conclusion

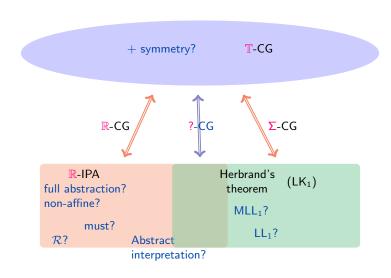












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